



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number	10/006,484
Filing Date	December 5, 2001
First Named Inventor	William J. Yarborough
Art Unit	3635
Examiner Name	Joseph T. Pan
Attorney Docket Number	72167.000295

Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

**FOREIGN PATENT DOCUMENTS**

*Examiner Initials	Cite No.	FOREIGN PATENT DOCUMENT		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	TRANSLATION	
		Country Code:	Number - Kind Code (if known)				YES	NO
							<input type="checkbox"/>	<input type="checkbox"/>
							<input type="checkbox"/>	<input type="checkbox"/>
							<input type="checkbox"/>	<input type="checkbox"/>

**NON-PATENT LITERATURE DOCUMENTS**

*Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	TRANSLATION	
			YES	NO
JP	1.	R. Fielding et al., <u>Network Working Group</u> , "Hypertext Transfer Protocol -- HTTP/1.1", June, 1999		
	2.	S. Bellovin, <u>Network Working Group</u> , "Firewall-Friendly FTP", February, 1994		
	3.	Postel & Reynolds, <u>Network Working Group</u> , "File Transfer Protocol (FTP)", October, 1985		

EXAMINER SIGNATURE

*Joseph Pan*

DATE CONSIDERED

11/3/05

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



PTO/SB/08a/b (08-03)  
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<b>Substitute for form 1449A/B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/006,484-Conf. #3635
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				First Named Inventor	William J. Yarborough
				Art Unit	2131
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	J2167.0295/P295

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
JP	BA	WO-98/18248	04-30-1998	International Business Machines Corporation		
JP	BB	GB-2323757-A	09-30-1998	International Business Machines Corporation		

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NON PATENT LITERATURE DOCUMENTS			
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Joseph Pan

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				Group Art Unit	N/A
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	J2167.0295/P295
Sheet	1	of	2		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
JP	A	5,623,601		Vu	Apr. 22, 1997	
	B	5,778,174		Cain	Jul. 7, 1998	
	C	5,864,683		Boebert et al.	Jan. 26, 1999	
	D	5,550,984		Gelb	Aug. 27, 1996	
	E	6,061,796		Chen et al.	May 9, 2000	
	F	6,079,020		Liu	Jun. 20, 2000	
	G	6,182,226		Reid et al.	Jan. 30, 2001	
	H					

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

Examiner Signature	<i>Joseph Pan</i>	Date Considered	11/3/05
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 2

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First Named Inventor	William J. Yarborough
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Attorney Docket Number	J2167.0295/P295

### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

Examiner Signature	Joseph Pan	Date Considered	11/3/05
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